

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6494059
<b>Application Number:</b>	10628208
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5479
<b>Title of Invention:</b>	METHOD AND SYSTEM FOR PROVIDING MANDATORILY CONVERTIBLE SECURITIES WITH AN ASSOCIATED CALL SPREAD AGAINST A TRUST
<b>First Named Inventor/Applicant Name:</b>	William Ortner
<b>Customer Number:</b>	75127
<b>Filer:</b>	George T. Marcou./Jeanne Paolella-Bald
<b>Filer Authorized By:</b>	George T. Marcou.
<b>Attorney Docket Number:</b>	SSB0009-US
<b>Receipt Date:</b>	20-NOV-2009
<b>Filing Date:</b>	29-JUL-2003
<b>Time Stamp:</b>	11:50:54
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1810
RAM confirmation Number	8267
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Issue Fee Payment (PTO-85B)	ssb0009_issfeetrans.pdf	93290 cb47886554aee45c78caef7ccbd2305d3d5e5db	no	2
<b>Warnings:</b>					
<b>Information:</b>					
2	Issue Fee Payment (PTO-85B)	ssb0009_partb.pdf	192788 9ce652dbf7ee397a8d7b012718a296c64f188d8b	no	1
<b>Warnings:</b>					
<b>Information:</b>					
3	Fee Worksheet (PTO-875)	fee-info.pdf	32289 2d2054fe7105c0c3163b32780056c3e515ab0b49c	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			318367		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>          If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>          If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>          If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					